



Handwritten: \$ Sector

Practitioner's Docket No. 4492P1191US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Woodruff, Daniel
Application No.: 09/782216
Filed: 02/13/2001
Examiner:
Group No.:
For: PROCESSING APPARATUS INCLUDING A REACTOR FOR
ELECTROCHEMICALLY ETCHING A MICROELECTRONIC
WORKPIECE

**Assistant Commissioner for Patents
Washington, D.C. 20231**

CERTIFICATE OF MAILING UNDER 37 C.F.R. section 1.8(a)

I hereby certify that the attached correspondence comprising:

- Executed Declaration
- Response to Notice to File Missing Parts
- Notice to File Missing Parts
- Fee Transmittal

is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents
Washington, D.C. 20231**

on March 23, 2001.

Handwritten signature of Janice Burdette

Janice Burdette



#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 4492P1191US)

Applicant: Daniel Woodruff et al.)
For: Processing Apparatus Including)
a Reactor for Electrochemically)
Etching a Microelectronic)
Workpiece)
Serial No.: 09/782,216)
Filed: 2/13/2001)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Dear Sir,

In response to Notice to File Missing Parts of dated March 16, 2001, please find enclosed an executed Declaration and Power of Attorney for the above-captioned application.

This is believed to be a complete response to the communication.

Respectfully submitted,

Robert B. Polit – 33,993

Polit & Associates, LLC.
3333 Warrenville Road, Suite 200
Lisle, IL 60532
(630) 799-8335
(630) 799-8336 (Fax)
rpolit@interaccess.com



PTO/SB/17 (12/99)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 130.00

| Complete if Known | |
|----------------------|-------------------|
| Application Number | 09/782,216 |
| Filing Date | February 13, 2001 |
| First Named Inventor | Daniel Woodruff |
| Examiner Name | |
| Group / Art Unit | |
| Attorney Docket No. | 4492P1191US |

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-1616

Deposit Account Name: Polit & Associates, LLC.

☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------------|------------------------|------------|
| 101 690 | 201 345 | Utility filing fee | |
| 106 310 | 206 155 | Design filing fee | |
| 107 480 | 207 240 | Plant filing fee | |
| 108 690 | 208 345 | Reissue filing fee | |
| 114 150 | 214 75 | Provisional filing fee | |
| SUBTOTAL (1) | | | (\$) 0.00 |

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|---------------------------|--------------|----------------|----------|
| Independent Claims | -20** = | X | = 0 |
| Multiple Dependent Claims | -3** = | X | = 0 |

**or number previously paid, if greater; For Reissues, see below

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 103 18 | 203 9 | Claims in excess of 20 | |
| 102 78 | 202 39 | Independent claims in excess of 3 | |
| 104 260 | 204 130 | Multiple dependent claim, if not paid | |
| 109 78 | 209 39 | ** Reissue independent claims over original patent | |
| 110 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | (\$) |

3. ADDITIONAL FEES

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|--------------------------------------|-----------------------|--|--------------|
| 105 130 | 205 65 | Surcharge - late filing fee or oath | 0.00 |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet. | 0.00 |
| 139 130 | 139 130 | Non-English specification | 0.00 |
| 147 2,520 | 147 2,520 | For filing a request for reexamination | 0.00 |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | 0.00 |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | 0.00 |
| 115 110 | 215 55 | Extension for reply within first month | 0.00 |
| 116 380 | 216 190 | Extension for reply within second month | 0.00 |
| 117 870 | 217 435 | Extension for reply within third month | 0.00 |
| 118 1,360 | 218 680 | Extension for reply within fourth month | 0.00 |
| 128 1,850 | 228 925 | Extension for reply within fifth month | 0.00 |
| 119 300 | 219 150 | Notice of Appeal | 0.00 |
| 120 300 | 220 150 | Filing a brief in support of an appeal | 0.00 |
| 121 260 | 221 130 | Request for oral hearing | 0.00 |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | 0.00 |
| 140 110 | 240 55 | Petition to revive - unavoidable | 0.00 |
| 141 1,210 | 241 605 | Petition to revive - unintentional | 0.00 |
| 142 1,210 | 242 605 | Utility issue fee (or reissue) | 0.00 |
| 143 430 | 243 215 | Design issue fee | 0.00 |
| 144 580 | 244 290 | Plant issue fee | 0.00 |
| 122 130 | 122 130 | Petitions to the Commissioner | 0.00 |
| 123 50 | 123 50 | Petitions related to provisional applications | 0.00 |
| 126 240 | 126 240 | Submission of Information Disclosure Stmt | 0.00 |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | 0.00 |
| 146 690 | 246 345 | Filing a submission after final rejection (37 CFR § 1.129(a)) | 0.00 |
| 149 690 | 249 345 | For each additional invention to be examined (37 CFR § 1.129(b)) | 0.00 |
| Other fee (specify) See Attachment 1 | | | 130.00 |
| Other fee (specify) | | | 0.00 |
| SUBTOTAL (3) | | | (\$) 130.00 |

*Reduced by Basic Filing Fee Paid

| SUBMITTED BY | | Complete (if applicable) | |
|-------------------|-----------------|-----------------------------------|--------------|
| Name (Print/Type) | Robert B. Polit | Registration No. (Attorney/Agent) | 33,993 |
| Signature | | Telephone | 630-799-8335 |
| | | Date | |

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Addendum

Attachment 1

Notice for Filing Missing Parts



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/782,216 | 02/13/2001 | Daniel Woodruff | 4492P1191US |

CONFIRMATION NO. 9703

FORMALITIES LETTER



OC000000005870206

Robert B Polit
Polit & Associates
Suite 200
3333 Warrenville Road
Lisle, IL 60532

Date Mailed: 03/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY